



Annex 5 Marine Safety and Security

Introduction This annex provides information and guidance related to marine safety and security.

In this Annex This annex contains the following sections:

| Section | Title | See Page |
|----------------|--|-----------------|
| A | Auxiliary Marine Safety and Security Support | 5-3 |
| B | Auxiliary Trident Program | 5-5 |
| C | Auxiliary Commercial Fishing Vessel Safety Program | 5-7 |
| D | Environmental Protection | 5-9 |
| E | Incident Command System | 5-15 |





Section A. Auxiliary Marine Safety and Security Support

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| Introduction | This section provides information related to the Auxiliary Marine Environmental Safety and Security (M). |
| A.1. Goals and Objectives | <p>The goals and objectives of M support programs are to develop a trained cadre of Auxiliarists fully qualified to meet the augmentation needs of the M community. The programs and training are developed to meet the identified needs of the M field units and their programs. Training and participation is on a “needs” basis and is not available unless the need exists in an area or program.</p> <p>The development of this cadre of Auxiliarists and their inclusion within the assets available to meet M needs provides a pool of qualified Auxiliary members to serve as a force multiplier during normal and surge operations in the M mission fields.</p> |
| A.2. Marine Environmental Safety and Security | Programs in this area relate to ensuring the safe, secure operation of our nations port facilities. Types of facilities include container, oil, hazardous gas, ferry and passenger facilities. Auxiliarists train to assist the active duty in their inspections both in the area of safety and in the area of security inspections. |
| A.3. Waterways Management | The primary program in this area is to have Auxiliarists serve as members of Area Maritime Security (AMS) committees with an emphasis on Recreational Boating Safety. The District Commodore, Captain of the Port, and Coast Guard Headquarters Commandant (G-MW), approve members chosen for these committees. |
| A.4. Regional Exam Center Support | Auxiliarists are encouraged to work in support of Regional Exam Centers (RECs) in support of mariner licensing programs. Areas where Auxiliarists may assist include assisting in the office, mariner document evaluation, licensing examination, and course audits. |
| A.5. Auxiliary Specific Personal Qualification Standards Program | <p>Development of the Auxiliary specific Personal Qualification Standards (PQSs) include but are not limited to the areas of service described in Section B of this annex. PQS workbooks may be downloaded from the Auxiliary National web site at:</p> <p style="text-align: center;">http://www.auxetrain.org/tridenttext.html</p> <p>Additional Auxiliary PQSs are ready for implementation and new ones will be developed as the need is determined.</p> |





Section B. Auxiliary Trident Program

Introduction The Auxiliary Trident Program is the Auxiliary training, qualification, and recognition program for Auxiliarists actively engaged in direct support of marine safety and environmental protection programs.

B.1. Program Goal The goal of the Auxiliary Trident Program is to encourage active participation by Auxiliarists in marine safety and environmental protection missions and programs.

The program is structured to develop and provide the requisite training and qualifications for the Auxiliary members to enable them to participate safely and productively, and to recognize this participation and service. The program establishes minimum education, training, and service requirements to be completed by an Auxiliarist to earn entitlement to wear the Auxiliary trident device.

B.2. PQS Availability The Auxiliary National Marine Safety and Environmental Protection Department and Commandant (G-MRP) have developed, reviewed, and approved all Trident Program course elements. Auxiliary specific PQSs and active duty and reserve PQSs, as listed within the *Marine Safety Manual, Volume I, Administration and Management*, COMDTINST M16000.6 (series), are available to members of the Auxiliary for qualification and inclusion, unless specifically prohibited by statute.

Complete program descriptions and requirements are available through the Coast Guard Auxiliary National web site and Marine Safety and Environmental Protection Department Trident Program web page at:

<http://www.auxetrain.org/tridenttext.html>

The Trident Program is currently comprised of the following PQS:

B.2.a. Auxiliary Assistant Container Inspector Upon successful completion of the Auxiliary Assistant Container Inspector (AUX-CI) personal qualification, an Auxiliarist will hold the minimum competencies to complete an exterior container inspection.

B.2.b. Auxiliary Assistant Life Raft Inspector Upon successful completion of the Auxiliary Assistant Life Raft Inspector (AUX-LR) personal qualification, an Auxiliarist will hold the minimum competencies to inspect liferafts and their associated equipment in all routine periodic tests.

B.2.c. Auxiliary Administrative and Management Specialist Upon successful completion of the Auxiliary Administrative and Management Specialist (AUX-MSAM) personal qualification, an Auxiliarist will hold the minimum competencies to successfully fulfill staff positions in a district or National post.

B.2.d. Auxiliary Marine Safety Watchstander Upon successful completion of the Auxiliary Marine Safety Watchstander (AUX-WS) personal qualification, an Auxiliarist will hold the minimum competencies necessary to complete a Marine Safety Watch.



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| B.2.e. Auxiliary Assistant Facilities Inspector | Upon successful completion of the Auxiliary Assistant Facilities Inspector (AUX-EU) personal qualification, an Auxiliarist will hold the minimum competencies to complete a routine Facility Inspection under supervision. |
| B.2.f. Auxiliary Assistant Pollution Response Specialist | Upon successful completion of the Auxiliary Assistant Pollution Response Specialist (AUX-ET) personal qualification, an Auxiliarist will hold the minimum competencies to assist a qualified Federal On-Scene Coordinator Representative (FOSC/R) in an Oil Pollution Response. |
| B.2.g. Auxiliary Assistant Pollution Investigator | Upon successful completion of the Auxiliary Assistant Pollution Investigator (AUX-ED) personal qualification, an Auxiliarist will hold the minimum competencies to assist a qualified Pollution Investigator in completing a Pollution Investigation case. |
| B.2.h. Auxiliary Assistant Harbor Safety Specialist | Upon successful completion of the Auxiliary Assistant Harbor Safety Specialist (AUX-EK) personal qualification, an Auxiliarist will hold the minimum competencies to assist a qualified Harbor Safety Officer in conducting a wide variety of Port Safety and Security tasks. |
| B.2.i. Auxiliary Uninspected Passenger Vessel Examiner | Upon successful completion of the Auxiliary Uninspected Passenger Vessel Examiner (AUX-UPV) personal qualification, an Auxiliarist will hold the minimum competencies to examine Uninspected Passenger Vessels (UPVs) and their associated equipment for compliance with the applicable safety regulation in 46 CFR Subchapter C. |
| B.2.j. Auxiliary Marine Environmental Education Specialist | Upon successful completion of the Auxiliary Marine Environmental Education Specialist (AUX-MEES) personal qualification, an Auxiliarist will hold minimum competencies to act as a Marine Education Specialist providing short duration (8 hours or less) seminars on selected Marine Environmental Protection topics to a wide range of audiences. |



Section C. Auxiliary Commercial Fishing Vessel Safety Program

Introduction The Commercial Fishing Industry Vessel Safety Act (CFIVSA) of 1988 required the Coast Guard to issue new regulations for safety equipment and operating procedures that apply to all U.S. uninspected commercial fishing, fish tender, and fish processing vessels either documented or state registered. The act also increased casualty reporting requirements to make the commercial fishing industry a safer place to work.

C.1. Program Goal The goal of the Auxiliary Commercial Fishing Vessel Safety Program is to directly support and assist the Coast Guard in the performance of this mission to create a safer workplace for those engaged in these activities.

C.2. Program Information The Commercial Fishing Vessel Safety Program is a Coast Guard program administered under Commandant (G-MOC). Auxiliary support and participation in the voluntary dockside examination program for commercial fishing vessels have been extensive and are responsible for significant support to the program. Auxiliarists are required to meet the same training and competency standards as the active duty and reserve personnel performing the same job. Additional program information is available at:

http://www.auxonline.org/~msep/commercial_fishing_vessels.htm





Section D. Environmental Protection

Introduction

This section describes various environmental protection programs.

D.1. Sea Partners

The Coast Guard Auxiliary is a critical element in the Sea Partners Campaign. Auxiliary support of Sea Partners consists primarily of:

- Inclusion of environmental materials in boat shows booths and other public affairs events. These materials are stocked at the Auxiliary National Supply Center.
- Inclusion of environmental protection information in boating safety classes, vessel safety checks and marine dealer visits. Detailed information on specific pollution issues is available in the Ocean Conservancy's *Good Mate* manual and materials.
- Assistance to the Marine Safety Office Sea Partners program through public presentations at schools, yacht clubs, business groups, etc.

More information about Sea Partners can be found at:

<http://www.uscg.mil/hq/g-m/nmc/seapart.htm>

D.2. Aquatic Nuisance Species Mitigation

The Coast Guard is one of several federal agencies charged with reducing the influx and impact of aquatic nuisance species (ANS).

Auxiliary support and participation in the voluntary dockside examination program for commercial fishing vessels have been extensive and are responsible for significant support to the program.

This includes ensuring that management efforts are in place to prevent further importation and spread of ANS. Coast Guard and Auxiliary members perform public affairs, public education and marine safety missions in connection with boater education and marina public information to abate the spread of ANS.

The Coast Guard published on 12/28/2000 the Voluntary Guidelines on Recreational Activities to Control the Spread of Zebra Mussels and Other Aquatic Species, Federal Register Notice (USCG-2000-7206). The guidelines are based on the recommendation presented to the Coast Guard by the Recreational Activities Committee of the Aquatic Nuisance Species (ANS) Task Force as required by the National Invasive Species Act of 1996. These guidelines provide specific steps that can be taken by the public to prevent or minimize the transport of ANS through recreational activities. Program information and updates can also be accessed electronically through the Coast Guard's Environmental Standards Division Commandant (G-MSO-4) website at:

<http://www.uscg.mil/hq/g-m/mso/mso4>



In addition to public education and information activities regarding ANS mitigation, the Coast Guard Auxiliary engages in several field projects to address ANS issues. The Auxiliary supports the Smithsonian Environmental Research Center in a field survey of the distribution of ANS through waterborne sampling, where Auxiliary boats are used as platforms for scientists. The Auxiliary participates in the work of the 100th Meridian Initiative, an interagency federal-state-academic work program focused on stopping ANS migration westward of the 100th meridian line in the United States and Canada. Additional information is available at:

<http://www.100thmeridian.org>

D.3. Good Mate Program

Developed by the Ocean Conservancy, the Good Mate Program is an environmental education and awareness program for recreational boaters. Auxiliarists use the Good Mate Program to raise understanding and awareness of recreational boaters and marina staff about how they can help protect aquatic environments.

This program seeks to help boaters and marinas develop and incorporate environmentally friendly management strategies in six areas of concern: oil and fuel disposal, sewage discharge, vessel maintenance and repair, solid waste disposal, storm water runoff and vessel operation. Good Mate has been adopted by the Coast Guard Auxiliary as its basic training course for environmental education and awareness in the area of recreational boating. Additional information is available at:

<http://www.oceanconservancy.org>

D.4. Clean Marina Programs

Several States have established education and outreach programs to improve the environmental management of marinas. The Auxiliary is frequently the primary Coast Guard interface with these programs. Auxiliarists working in concert with these programs provide education, outreach, expert advice and program guidance.

D.5. Marine Mammal Monitoring

Marine mammal protection is a federal responsibility under the Marine Mammal Protection Act. Working in concert with other federal agencies, state agencies and a host of private conservation organizations, the Coast Guard participates in monitoring and encouraging management practices to reduce mammal injuries and to rescue stranded or injured animals. Auxiliary activity and support in this area includes:

- Performing monitoring patrols
- Recording and reporting mammal locations and behaviors
- Identifying mammals in difficulty and assisting in rescue operations.
- Education and outreach programs to familiarize the general public – and especially boaters – on responsible recreation practices.

Auxiliary activity in this area supports Coast Guard responsibilities under the Marine Mammal Protection Act and the Endangered Species Act.



D.6. Pollution Investigation and Response

Consistent with the USCGAUX reauthorization of 1997, the Coast Guard Auxiliary may be employed to assist in the response to and investigation of pollution incidents. Auxiliarists may hold positions within the Incident Command System (ICS) structure to assist the FOSC. Auxiliarists holding the Assistant Pollution Response Specialist (AUX-ET) or Assistant Pollution Investigator (AUX-ED) qualifications released in ALCOAST 262/03 have received specialized training in pollution investigation and oil spill response. These personnel may augment active duty or reserve forces or may be independently deployed to investigate and respond to pollution incidents. All personnel, including Coast Guard and Auxiliary members, who participate in any oil spill response or possible hazardous materials release event, are required by Occupational Safety and Health Administration (OSHA) to have a level of hazardous waste operations (HAZWOPER) training appropriate to their duties and responsibilities. Auxiliarists engaging in pollution response or investigation activities for 30 or more days per year are required to participate in the Occupational Medical Surveillance and Evaluation Program (OMSEP).

D.6.a. Occupational Medical Surveillance Program

The provisions of the OMSEP have been extended to Auxiliarists engaged in the Trident Program who meet the same criteria for inclusion as do active duty, reserve, and civilian U.S. Coast Guard employees engaged in potentially hazardous activities. OMSEP physical examinations are not mandatory for Auxiliarists, but are offered through Coast Guard clinics at no cost. The inclusion of Auxiliarists in this program is at “no cost” to the Auxiliarist. This removes a barrier to full inclusion of the Auxiliary in these activities at many M units. This inclusion is approved in the authorization provided in **Figure A5-1**.



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UNCLAS
SUBJ: COAST GUARD AUXILIARY ELIGIBILITY FOR ENROLLMENT IN THE
OCCUPATIONAL MEDICAL SURVEILLANCE AND EVALUATION PROGRAM
(OMSEP) .
A. OCCUPATIONAL MEDICAL SURVEILLANCE AND EVALUATION
PROGRAM (CHAPTER 12), MEDMAN, COMDTINST M6000.1B.
B. OCCUPATIONAL HEALTH (CHAPTER 4), SAFETY AND
ENVIRONMENTAL HEALTH MANUAL, COMDTINST M5100.47.
1. THE TRIDENT PROGRAM IS THE AUXILIARY'S TRAINING AND
QUALIFICATIONS PROGRAM TO PREPARE MEMBERS TO PROVIDE MARINE
SAFETY AND ENVIRONMENTAL PROTECTION SUPPORT FOR THE COAST
GUARD.
2. AUXILIARISTS ENGAGED IN THE TRIDENT PROGRAM MAY BE
ELIGIBLE FOR ENROLLMENT IN OMSEP AS GOVERNED BY REF (A). REF
(A) SPECIFIES THAT IF A MEMBER IS ACTIVELY ENGAGED IN CERTAIN
OCCUPATIONS (MARINE RESIDENT INSPECTORS, POLLUTION
INVESTIGATORS, MARINE SAFETY (GENERAL), PORT SAFETY (GENERAL),
VESSEL INSPECTORS, OR MARINE INVESTIGATORS) FOR 30 DAYS OR
MORE PER CALENDAR YEAR, ENROLLMENT SHOULD BE CONSIDERED.
3. OMSEP PHYSICAL EXAMINATIONS ARE NOT MANDATORY FOR
AUXILIARISTS BUT WILL BE OFFERED THROUGH CG CLINICS, AT NO
COST, TO MEMBERS WHO MEET THE ENROLLMENT CRITERIA. DECLINING
PERIODIC COAST GUARD EXAMINATIONS MAY AFFECT THE ABILITY OF
THE COAST GUARD TO DETECT OR TREAT POTENTIAL ILLNESSES AND AS
A RESULT, COULD AFFECT THE AMOUNT OF DISABILITY COMPENSATION
RECOVERED BY THE PARTICIPANT. AUXILIARY MEMBERS DECLINING
COAST GUARD PERIODIC EXAMINATIONS ARE STRONGLY ENCOURAGED TO
UNDERGO THEIR OWN EXAMS AND FORWARD ANY ASSOCIATED RECORDS OR
INFORMATION TO THE COAST GUARD OFFICE OF SAFETY AND
ENVIRONMENTAL HEALTH, G-WKS-3, 2100 SECOND STREET SW,
ASHINGTON, DC 20593.
4. AUXILIARY MEMBERS IDENTIFIED ABOVE ARE ENCOURAGED TO MEET
WITH THEIR UNIT SAFETY AND OCCUPATIONAL HEALTH COORDINATOR AT
MARINE SAFETY OFFICES, ACTIVITIES, AND SECTORS TO REQUEST
ENROLLMENT IN OMSEP. UNIT SAFETY AND OCCUPATIONAL HEALTH
COORDINATORS SHOULD RECOMMEND THE ENROLLMENT OF AUXILIARY
MEMBERS ENGAGED IN THE TRIDENT PROGRAM, TO THEIR SERVICING ISC
SAFETY AND ENVIRONMENTAL HEALTH OFFICER (SEHO), BY MAKING AN
ENTRY INTO THE OMSEP DATABASE. TO ENTER AND LOG-ON TO THE
OMSEP DATABASE USE THE LINK:
<[HTTP://WEBAPPS.MLCA.USCG.MIL/KDIV/KSEOMSEP/DEFAULT.CFM](http://WEBAPPS.MLCA.USCG.MIL/KDIV/KSEOMSEP/DEFAULT.CFM)>

Figure A5-1
**Coast Guard Auxiliary Eligibility for Enrollment in the Occupational Medical
Surveillance and Evaluation Program**



5. IAW REFS (A) AND (B), EACH ISC SEHO SHALL SCRUTINIZE RECOMMENDATIONS FOR ENROLLMENT IN OMSEP AND EITHER APPROVE OR DISAPPROVE ENROLLMENT. UNIT SAFETY AND OCCUPATIONAL HEALTH COORDINATORS ARE ENCOURAGED TO CONTACT THEIR SERVICING ISC SEHO FOR ASSISTANCE. COAST GUARD HEADQUARTERS POINT OF CONTACT: CDR WADE MCCONNELL: 202-267-1388. COAST GUARD SEHO POINTS OF CONTACT:

A. MLCPAC (KSE) POC: HSCS KIRK WATSON: 510-637-1245, OR CDR JOSELITO IGNACIO: 510-637-1243.

- 1) ISC ALAMEDA (SEHO): (510) 437-3672.
- 2) ISC HONOLULU (SEHO): (808) 541-1545.
- 3) ISC SAN PEDRO (SEHO): (310) 732-7447.
- 4) ISC SEATTLE (SEHO): (206) 217-6341.
- 5) ISC KETCHIKAN (SEHO): (907) 228-0229.
- 6) ISC KODIAK (SEHO): (907) 487-5757 X133.

B. MLCLANT (KSE) POC: LCDR WAYNE MACKENZIE: 757-628-4410.

- 1) ISC BOSTON (SEHO): (617) 223-3202.
- 2) ISC PORTSMOUTH (SEHO): (757) 483-8496.
- 3) ISC MIAMI (SEHO): (305) 535-4493.
- 4) ISC NEW ORLEANS (SEHO): (504) 942-4133.
- 5) ISC ST LOUIS (SEHO): (314) 539-3900 X2267.
- 6) ISC CLEVELAND (SEHO): (216) 902-6395.

6. INTERNET RELEASE AUTHORIZED.

7. MR. A.C. KOTZ, CHIEF OFFICE OF SAFETY AND ENVIRONMENTAL HEALTH SENDS.

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Figure A5-1
Coast Guard Auxiliary Eligibility for Enrollment in the Occupational Medical Surveillance and Evaluation Program - Continued





Section E. Incident Command System

Introduction

The Incident Command System (ICS) is used to manage an emergency incident or a non-emergency event. It is designed to be used for both small and large situations and has been adopted by the Coast Guard. The system has considerable flexibility and can grow or shrink to meet differing needs.

E.1. Manageable Incidents and Events

Some examples of the kinds of incidents and events that are manageable by the ICS are:

- Fires, HAZMAT, and multicasualty incidents
- Multi-jurisdictional and multi-agency events
- Wide area search and rescue missions
- Oil spill response and recovery incidents
- Planned events

E.2. Online Training

Auxiliarists may assume many roles within an ICS structure. Online introductory ICS training is available to Auxiliarists at:

<http://www.auxetrain.org/icsintro100.htm>

